

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/666615
Filing Date	September 18, 2003
First Named Inventor	Rosenflanz, Anatoly
Art Unit	1755
Examiner Name	Elizabeth Bolden
Attorney Case Number	58354US002



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Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
~	A1	US- 659,926	10/16/1900	Jacobs	
~	A2	US- 906,339	12/08/1908	Tone	
1	A3	US- 960,712	06/07/1910	Saunders	
	A4	US- 1,037,999	09/10/1912	Saunders	
	A5	US- 1,107,011	08/11/1914	Allen	
	A6	US- 1,149,064	08/03/1915	Kalmus	
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	A8	US- 1,192,709	07/25/1916	Tone	
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	A21	US- 1,910,444	05/23/1933	Nicholson	
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	A23	US- 2,424,645	07/29/1947	Baumann, Jr. et al.	
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~	A26	US- 2,961,296	11/22/1960	Fenerty	
~	A27	US- 3,041,156	06/26/1962	Rowse et al.	
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\*Examiner:

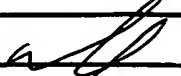
Date Considered:

1/3/06

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<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 2 of 14	<b>Application Number</b>	10/666615
	<b>Filing Date</b>	September 18, 2003
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	<b>Examiner Name</b>	<del>Elizabeth Bolden</del>
	<b>Attorney Case Number</b>	58354US002

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~	A29	US- 3,174,871	03/23/1965	Geffcken et al.	
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1	A31	US- 3,216,794	11/09/1965	Roschuk	
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	A33	US- 3,498,769	03/03/1970	Coes, Jr.	
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	A47	US- 4,035,162	07/12/1977	Brothers et al.	
	A48	US- 4,049,397	09/20/1977	Bockstiegel et al.	
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~	A55	US- 4,157,898	06/12/1979	Walker et al.	
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✓	A57	US- 4,238,213	12/09/1980	Pallo et al.	
✓	A58	US- 4,261,706	04/14/1981	Blanding et al.	
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~	A85	US- 4,800,685	01/31/1989	Haynes, Jr.	
~	A86	US- 4,881,951	11/21/1989	Wood et al.	
↑	A87	US- 4,898,587	02/06/1990	Mera	
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	A91	US- 5,007,943	04/16/1991	Kelly et al.	
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~	A112	US- 5,203,886	04/20/1993	Sheldon et al.	

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~	A114	US- 5,259,147	11/09/1993	Falz et al.	
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~	A139	US- 5,605,870	02/25/1997	Strqm-Olsen et al.	
~	A140	US- 5,609,706	03/11/1997	Benedict et al.	

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~	A170	US- 6,521,004	02/18/2003	Culler et al.	
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	B23	JP	06 040765A	2/15/1994			X Machine
	B24	JP	59 22 7726A	12/21/1984			X Machine

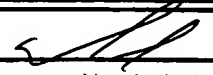
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	<b>Filing Date</b>	September 18, 2003
	<b>First Named Inventor</b>	Rosenflanz, Anatoly
	<b>Art Unit</b>	1755
	<b>Examiner Name</b>	Elizabeth Golden
	<b>Attorney Case Number</b>	58354US002


Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
~	B25	JP	2001294480	10/23/2001			X Machine
~	B26	Hei	11-189926	07/13/1999			X Machine
~	B27	Hei	4-119941	04/21/1992			X
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~	C1	Aguilar, E.A., "Processing and crystallization of rapidly solidified Al <sub>2</sub> O <sub>3</sub> -Y <sub>2</sub> O <sub>3</sub> fibres", British Ceramic Transactions, 2000, Vol. 99, No. 6, pp. 256-259.
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✓	C18	Harris et al., "DURABLE 3—5 μm TRANSMITTING INFRARED WINDOW MATERIALS," <u>Infrared Physics &amp; Technology</u> 39, 1998, pp. 185-201
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	<b>Examiner Name</b>	Elizabeth Bolden
	<b>Attorney Case Number</b>	58354US002

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
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~	C21	Hrovat et al., "Preliminary data on subsolidus phase equilibria in the $\text{La}_2\text{O}_3\text{-Al}_2\text{O}_3\text{-Mn}_2\text{O}_3$ and $\text{La}_2\text{O}_3\text{-Al}_2\text{O}_3\text{-Fe}_2\text{O}_3$ systems", <u>Journal of Materials Science Letters</u> , Vol. 14, 1995, pp. 265-267.
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	<b>Attorney Case Number</b>	58354US002

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
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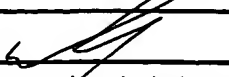
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~	C69	U.S. Patent Application entitled "Fused $\text{Al}_2\text{O}_3\text{-Y}_2\text{O}_3\text{-ZrO}_2$ Eutectic Abrasive Particles, Abrasive Articles, And Methods Of Making And Using The Same", filed July 19, 2000, Rosenflanz having U.S. Serial No. 09/618,876 (Attorney Docket No. 55763US002).
~	C70	U.S. Patent Application entitled, "Method of Making Ceramic Articles", filed August 2, 2002, Rosenflanz having U.S. Serial No. 10/211,481 (Attorney Docket No. 56938US004).
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~	C72	U.S. Patent Application entitled "Methods of Making Ceramics", filed February 5, 2003, Anderson et al., having a U.S. Serial No. 10/358765 (Attorney Docket No. 58258US002)
~	C73	U.S. Patent Application entitled "Ceramics and Methods of Making the Same", filed February 5, 2003, Celikkaya et al., having a U.S. Serial No. 10/358910 (Attorney Docket No. 58325US002)
~	C74	U.S. Patent Application entitled " $\text{Al}_2\text{O}_3\text{-La}_2\text{O}_3\text{-Y}_2\text{O}_3\text{-MgO}$ Ceramics, and Methods of Making the Same", filed February 5, 2003, Celikkaya et al., having a U.S. Serial No. 10/358855 (Attorney Docket No. 58352US002)
~	C75	U.S. Application entitled "Use of Ceramics In Dental And Orthodontic Applications", filed February 5, 2003, having U.S. Serial No. 10/358,856 (Attorney Docket No. 58350US002)
~	C76	U.S. Patent Application entitled "Methods of Making $\text{Al}_2\text{O}_3\text{-SiO}_2$ Ceramics", filed February 5, 2003, Celikkaya et al. having a U.S. Serial No. 10/358,708 (Attorney Docket No. 58353US002 )
~	C77	U.S. Application entitled "Use of Glasses Containing Rare Earth Oxide, Alumina, and Zirconia And Dopant In Optical Waveguides", filed April 28, 2003, having U.S. Serial No. 10/425,039 (Attorney Docket No. 58435US002)
~	C78	U.S. Application entitled " Methods of Making Ceramic Particles", filed September 5, 2003, having U.S. Serial No. 10/655729 (Attorney Docket No. 58790US002)
~	C79	U.S. Application entitled "Ceramics Comprising $\text{Al}_2\text{O}_3$ , REO, $\text{ZrO}_2$ and/or $\text{HfO}_2$ , and $\text{Nb}_2\text{O}_5$ and/or $\text{Ta}_2\text{O}_5$ , and Methods of Making The Same", filed September 18, 2003, having U.S. Serial No. 10/666212 (Attorney Docket No. 58807US002)
~	C80	U.S. Application entitled "Ceramics Comprising $\text{Al}_2\text{O}_3$ , $\text{Y}_2\text{O}_3$ , $\text{ZrO}_2$ and/or $\text{HfO}_2$ , and $\text{Nb}_2\text{O}_5$ and/or $\text{Ta}_2\text{O}_5$ , and Methods of Making The Same", filed September 18, 2003, having U.S. Serial No. 10/666,098 (Attorney Docket No. 58961US002)
~	C81	U.S. Application entitled "Agglomerate Abrasive Grain and a Method of Making the Same", filed February 11, 2004, having U.S. Serial No. 10/776156 (Attorney Docket No. 55304US016)

<b>*Examiner:</b> 	<b>Date Considered:</b> 1/3/06
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 2

Application Number

10/666615

Filing Date

September 18, 2003

First Named Inventor

Rosenflanz, Anatoly

Art Unit

1755

Examiner Name

~~Elizabeth Bolden~~

Attorney Case Number

58354US002

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
~	A1	US- 3,625,717	12/07/1971	Grubba et al.	
~	A2	US- 4,316,964	02/23/1982	Lange	
↑	A3	US- 4,757,036	07/12/1988	Kaar et al.	
	A4	US- 5,248,318 A	09/28/1993	Tamamaki et al.	
	A5	US- 5,413,974 A	05/09/1995	Yokoyama et al.	
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	A7	US- 5,516,348 A	05/14/1996	Conwell et al.	
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	A9	US- 5,645,619	07/08/1997	Erickson et al.	
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		Ctry. Code	Number-KindCode (If known)				
~	B1	DE	141 420	04/30/1980			X
~	B2	EP	0 227 374	07/01/1987			
~	B3	EP	0 236 507	09/16/1987			
~	B4	EP	0 666 238 B1	08/09/1995			
~	B5	EP	0 666 239 B1	08/09/1995			
~	B6	FR	2 118 026	07/28/1972			X
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~	B8	GB	1,005,338	09/22/1965			
~	B9	GB	2 116 992	10/05/1983			

\*Examiner:


Date Considered:

1/3/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 2 of 2	<b>Application Number</b>	10/666615
	<b>Filing Date</b>	September 18, 2003
	<b>First Named Inventor</b>	Rosenflanz, Anatoly
	<b>Art Unit</b>	1755
	<b>Examiner Name</b>	<del>Elizabeth Bolden</del>
	<b>Attorney Case Number</b>	58354US002

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
~	B10	GB	2 377 438 A	01/15/2003			
~	B11	JP	61099665	05/17/1986			Abstract
~	B12	JP	05-085821	04/06/1993			X
~	B13	JP	06-171974	06/21/1994			X
~	B14	JP	62-003041	01/09/1987			X
~	B15	JP	2003-94414	04/03/2003			X
OTHER DOCUMENTS							
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					Translation (Check if yes)
~	C1	Aasland and McMillan, Nature 369, 633 (1994)					
~	C2	Aguilar et al, "Melt Extraction Processing of Structural Y <sub>2</sub> O <sub>3</sub> -Al <sub>2</sub> O <sub>3</sub> Fibers", J. Eur. Ceram. Soc. 20 1091-1098 (2000)					
~	C3	Gandhi, A.S. and Jarayam, V., "Pressure Consolidation of Amorphous ZrO <sub>2</sub> -Al <sub>2</sub> O <sub>3</sub> by Plastic Deformation of Powder Particles", Acta Materiala, 50 (2002), 2137-2149					
~	C4	Gonzalez, Eduardo J., et al., "High Pressure Compaction and Sintering of Nano-Size γ-Al <sub>2</sub> O <sub>3</sub> Powder", Materials and Manufacturing Processes Vol. 11, No. 6, 951-967, 1996					
~	C5	Jantzen, C.M., Krepski, R.P., & Herman, H., "Ultra-Rapid Quenching of Laser-Method Binary and Unary Oxides", Mat. Res. Bull. 15, 1313-1326 (1980)					
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~	C9	Sarjeant, P.T. & Roy, R., in <i>Reactivity of Solids</i> (ed. J. W. Mitchell, R.C., DeVries, R.W., Roberts and P. Cannon) 725-33 (John Wiley & Sons, Inc., New York 1969)					
~	C10	Takamori, T., & Roy, R., "Rapid Crystallization of SiO <sub>2</sub> -Al <sub>2</sub> O <sub>3</sub> Glasses", Journal of American Society, Vol. 56, No. 12, December 1973.					
~	C11	Weber et al., "Synthesis and Optical Properties of Rare-Earth-Aluminum Oxide Glasses", J. Am. Ceram. Soc. 85(5) 1309-1311 (2002)					
~	C12	Wilding, M.C., McMillan, P.F., "Polyamorphic Transitions in Ytria-Alumina Liquids", J. Non-Cryst. Solids. 293-295, 357-365 (2001)					

<b>*Examiner:</b> 	<b>Date Considered:</b> 1/3/06
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Substitute for form 1449A/PTO (modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number

10/666615

Filing Date

September 18, 2003

First Named Inventor

Rosenflanz, Anatoly

Art Unit

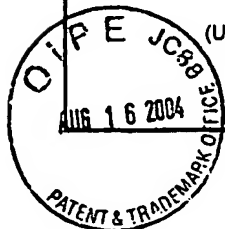
1755

Examiner Name

~~Elizabeth Bolden~~



Attorney Case Number

58354US002



## PROPRIETARY INFORMATION DO NOT SCAN

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init. *	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	U.S. Application entitled "Ceramics, and Methods of Making and Using the Same", filed July 29, 2004 (Attorney Docket No. 59682US002)
	C2	U.S. Application entitled "Method of Making Ceramic Articles", filed July 29, 2004 (Attorney Docket No. 59435US002)
	C3	

\*Examiner:

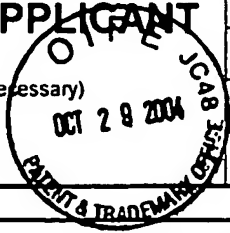
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Information Disclosure Statement)

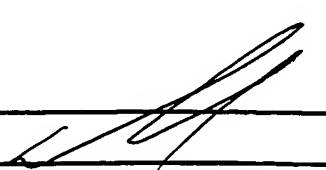
Substitute for form 1449A/PTO (modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	Application Number	10/666615
	Filing Date	September 18, 2003
	First Named Inventor	Rosenflanz, Anatoly
	Art Unit	1755
	Examiner Name	<del>Elizabeth Borden</del>
	Attorney Case Number	58354US002



U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
~	A1	US- 4,111,707	09/05/1978	Komorita et al.	
~	A2	US- 4,789,501	12/06/1988	Day et al.	
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~	A4	US- 6,251,813 B1	06/26/2001	Sato	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			

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Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (if known)			
~	B1	JP	60221338	11/06/1985		Abstract
	B2					
	B3					

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Page 1 of 1

Application Number	10/666,100
Filing Date	September 18, 2003
First Named Inventor	Rosenflanz, Anatoly
Art Unit	1755
Examiner Name	Elizabeth Golden
Attorney Case Number	58354US002

**U.S. Patent Documents**

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
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↑	A4	US- 4,218,253	08/19/1980	Dworak et al.	
↑	A5	US- 4,829,031	05/09/1989	Roy et al.	
↑	A6	US- 4,487,767	08/28/1984	Kampichler et al.	
↑	A7	US- 4,829,031	05/09/1989	Roy et al.	
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↑	A14	US- 6,447,937	09/10/2002	Murakawa et al.	

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		Ctry. Code	Number-Kind Code (if known)				
—	B1	FR	2538370	06/26/1984			X
—	B2	JP	50025608	03/18/1975			X

**OTHER DOCUMENTS**

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Page 1 of 1

Application Number	10/666615
Filing Date	September 18, 2003
First Named Inventor	Rosenflanz, Anatoly Z.
Art Unit	1755
Examiner Name	Elizabeth Borden
Attorney Case Number	58354US002

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	A1	US-			
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	A4	US-			
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	A6	US-			
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	A9	US-			
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	A11	US-			

**Foreign Patent Documents**

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		Ctry. Code	Number-Kind Code (if known)				
	B1	EP	0 274 838	07/20/1988			
	B2						
	B3						
	B4						
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	B6						
	B7						

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	C1		
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	C3		

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Page 1 of 1

Application Number

10/666615

Filing Date

September 18, 2003

First Named Inventor

Rosenflanz, Anatoly

Art Unit

1755

Examiner Name

~~Elizabeth Borden~~

Attorney Case Number

58354US002

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		Doc. Number-(Kind Code if Known)			
	A1	US- 4,182,437	01/08/1980	Roberts et al.	
	A2	US- 4,552,199	11/12/1985	Onoyama et al.	
	A3	US- 4,705,856	11/10/1987	Onoyama et al.	
	A4	US- 5,215,563	06/01/1993	LaCourse et al.	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

**Foreign Patent Documents**

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	B2						
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Page 1 of 1

Application Number	10/668615
Filing Date	September 18, 2003
First Named Inventor	Rosenflanz, Anatoly Z.
Art Unit	1755
Examiner Name	Elizabeth Bolden
Attorney Case Number	58354US002

**U.S. Patent Documents**

Exam. Init.	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc Number-(Kind Code if Known)			
	A1	US- 3,754,978	08/28/1973	Elmer et al.	
	A2	US-			
	A3	US-			
	A4	US-			
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	C1		
	C2		
	C3		

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number

TW555510

Filing Date

September 18, 2003

First Named Inventor

Rosenflanz, Anatoly

Art Unit

1755

Examiner Name

~~Elizabeth Bollen~~

Attorney Case Number

58354US002

**U.S. Patent Documents**

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number (Kind Code if known)			
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✓	A2	US- 5,976,274	11/02/1999	Inoue et al.	
✓	A3	US- 6,362,119 B1	03/26/2002	Chiba	
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

**Foreign Patent Documents**

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		Ctry. Code	Number-KindCode (if known)				
	B1						
	B2						
	B3						

\*Examiner:

Date Considered:

1/3/06

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<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	<b>Application Number</b>	10/666616
	<b>Filing Date</b>	September 18, 2003
	<b>First Named Inventor</b>	Rosenfianz, Anatoly Z.
	<b>Art Unit</b>	1755
	<b>Examiner Name</b>	Elizabeth Bolden
	<b>Attorney Case Number</b>	58354US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number Doc. Number (Kind Code if Known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
~	A1	US- 3,637,361	01-25-1972	Kita et al.	
~	A2	US- 3,928,515	12-23-1975	Richmond et al.	
~	A3	US- 4,217,264	08-12-1980	Mable, et al.	
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~	A11	US- 2004/0148868 A1	08-05-2004	Anderson et al.	

Foreign Patent Documents						
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